PATENT: 10/022,438

ATTORNEY DOCKET: 52493.000230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number :

10/022,438

Confirmation No.:

5099

RECEIVED **CENTRAL FAX CENTER**

Applicant

Allison Stoltz

Filed

December 20, 2001

MAR 3 1 2006

Title

SYSTEM AND METHOD FOR RISK ASSESSMENT

TC/Art Unit

: 3636

Examiner:

Tamara L. Graysay

Docket No.

52493.000230

Customer No.

21967

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97, and 1.98, Applicant respectfully requests consideration of the references listed on the attached Form PTO-1449.

Applicant respectfully requests that the Examiner consider the references cited on the Form PTO-1449 and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

This Information Disclosure Statement is being submitted after the mailing of a non-final Office Action, but is believed to be prior to a final Office Action or a Notice of Allowance. Pursuant to 37 C.F.R. § 1.97(c)(2), please charge the undersigned's Deposit Account No. 50-0206 in the amount of \$180.00 as set forth in § 1.17(p) is enclosed. In the event any variance

04/04/2006 EFLORES 00000042 500206 10022438

01 FC:1806

180.00 DA

PATENT: 10/022,438

ATTORNEY DOCKET: 52493.000230

exists between the amount enclosed and the Patent Office charges, please charge or credit any difference to the undersigned's Deposit Account No. 50-0206.

By:

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: 3-30-06

James R Min

Registration No. 40,444

Hunton & Williams LLP Intellectual Property Department 1900 K Street, N.W. Suite 1200 Washington, DC 20006 (202) 955-1500 (telephone) (202) 778-2201 (facsimile) JRM/kbi

HUNTON& WILLIAMS

RECEIVED CENTRAL FAX CENTER

MAR 3 1 2006

HUNTON & WILLIAMS LLP 1751 Pinnacle Drive Suite 1700 McLean, Virginia 22102

Tel 703 • 714 • 7400 Fax 703 • 714 • 7410

FAX

TO

NAME:

Tamara L. Graysay

FIRM:

U.S. Patent & Trademark Office

FAX NO.:

571-273-8300

PHONE NO.:

PAGES (INCLUDING COVER):

7

ORIGINAL TO FOLLOW IN MAIL:

☐ Yes 🖾 No

FROM

NAME:

James R. Miner, Reg. No. 40,444

FLOOR:

DIRECT DIAL:

(703) 714-7449

MESSAGE

Attached please find the following regarding U.S. Patent Application No. 10/022,438:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (3 pages);
- 2. Certificate of Transmission (1 page); and
- 3. Fax Cover Sheet (1 page).

IF PROBLEM WITH TRANSMISSION, PLEASE CONTACT OPERATOR AT 703 • 714 • 7500 .

OPERATOR

DATE:

March 31, 2006

TIME:

CLIENT/MATTER NAME:

CLIENT/MATTER NO.:

52493.000230

This communication is confidential and is intended to be privileged pursuant to the attorney-client privilege and the work-product doctrine. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the above address via the U.S. Postal Service.

PTO/\$B/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

Attorney Docket No. 52493.000230 Application Serial No: 10/022,438

Certificate Of Transmission Under 37 Cfr 1.8

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office

on 3-31-06 Date

//Signature:

James R. Miner

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- 1. Information Disclosure Statement (2 pages); ~
- 2. Form PTO-1449 (3 pages); -
- 3. Certificate of Transmission (1 page); and -
- 4. Fax Cover Sheet (1 page).

		U.S. DEPARTMENT OF COMME PATENT AND TRADEMARK OF		ATTY. DOCKET NO.: 52493.000230 INVENTOR'S NAME:			SERIAL NO.: 10/022,438 EXAMINER:		
(Use several sheets if necessary) Page 1 of 3					Allison STOLTZ FILING DATE: December 20, 2001			Tamara L. Graysay GROUP 3636	
<u>.</u>		U.S. PAT	ENT DOC	UMEN	TS				
*EXAMINER INITIAL	CITE NO.	DOCUMENT NUMBER	DAT	`K	NAME	C L S	SUR CLASS	FILING DATE	
	1	4,975,840	12/4/1	000	DeTore				
	2	5,809,478	9/15/1		Greco	+			
	3	5,918,217	6/29/1		Maggioncalda	+		1	
	4	5,974,390	10/26/		Ross				
	5	5,974,390	10/26/		Ross	_			
	6	6,049,772	4/11/2		Payne		 	<u> </u>	
-	7	6,134,536	10/17/		Shepherd	1	 		
	8	6,182,048	1/30/2		Osborn	+			
	9	6,301,563	10/9/2		Brown				
	10	6,332,125	12/18/		Callen				
	11	6,343,272	1/29/2		Payne				
	12	6,456,979	9/24/2	002	Flagg	1		<u> </u>	
	13	6,584,446	6/24/2		Buchanan				
<u> </u>	14	6,584,467	6/24/2		Haught	-			
	15	6,636,834	10/21/		Schirripa	-		1	
	16	6,684,190	1/27/2		Powers	╫			
	17	6,871,181	3/22/2		Kansal	+			
	18	6,937,990	8/30/2		Walker	-	 -		
	19	6,944,597	9/13/2		Callen	+			
	20	6,954,741	10/11/2		Burchetta	+-		 	
	21	6,963,853	11/8/2	005	Smith	+			
	22	20010032103	10/18/	2001	Sinex	1			
	23	20020035490	3/21/2	002	Ohmoto	T			
	24	20020055862	5/9/20	002	Jinks	1			
	25	20020062231	5/23/2	002	Zaccaria	-			
	26	20020082852	6/27/2	002	Greene	 			
	27	20030023462	1/30/2	003	Heilizer	-			
	28	20030028408	2/6/20	003	Herz	+			

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERC: (REV. 7-80) C - 10 PATENT AND TRADEMARK OFFICE LIST OF MATERIALS CITED BY APPLICANT			FICE	ATTY. DOCKET NO.: 52493.000230 INVENTOR'S NAME: Allison STOLTZ FILING DATE:			SERIAL NO.: 10/022,438 EXAMINER: Tamara L. Graysay GROUP		
(Us	heets if necessary) Page 2 of 3	December 20, 2001		3636					
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL	CITE NO.	DOCUMENT NUMBER	DATE		NAME	C L S	SUB CLASS	FILING DATE	
	29	20030033170	2/13/2	003	Bhatt		<u> </u>	1	
	30	20030033261	2/13/2003		Knegendorf	\top		 	
	31	20030093304	5/15/2	003	Keller	1-	<u> </u>		
	32	20030144888	7/31/2	003	Baron	+			
	33	20030225612	12/4/2	:003	DeSimone				
	34	20040024620	2/5/2004		Robertson	+		1	
	35	20040039610	2/26/2004		Weitermann				
,	36	20040064346	4/1/2004		Schneider			1	
	37	20040078250	4/22/2	004	Schorb				
	38	20040138927	7/15/2	004	Eydeland	 	-	 	
	39	20040186752	9/23/2	004	Kim				
	40	20040186753	9/23/2	004	Kim				
	41	20040199410	10/7/2	004	Feyen				
	42	20040225538	11/11/2	2004	Gelman				
·	43	20040230459	11/18/2004		Dordick	<u> </u>			
	44	20040249678	12/9/2	004	Henderson				
	45	20040249679	12/9/2	004	Henderson				
	46	20040267577	12/30/2	2004	Nakai			1	
	47	20050027571	2/3/20	005	Gamarnik				
	48	20050055248	3/10/2	005	Helitzer				
	49	20050055249	3/10/2	005	Helitzer			1	
	50	20050086084	4/21/2	005	Dillard				
	51	20050102171	5/12/2	005	Ashley				
	52	20050108062	5/19/2	005	Higgins				
	53	20050108064	5/19/2	005	Castleman				
	54	20050119919	6/2/20	005	Eder				
	55	20050125259	6/9/20	005	Annappindi				
	56	20050137914	6/23/2	005	Schmitter				

EXAMINER SIGNATURE	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether in conformance and not considered. Include copy of the	or not citation is in conformance with MPEP 609; Draw line through citation if not

FORM PTO-144	9 L	J.S. DEPARTMENT OF COMME	ERCE	ATTY	Y. DOCKET NO.:		SERIAL	iQ.:
(REV. 7-80) C - 10 PATENT AND TRADEMARK OFFICE				52493.000230			10/022,438	
					INVENTOR'S NAME:		EXAMINER:	
LIST OF MATERIALS CITED BY APPLICANT (Use several sheets if necessary) Page 3 of 3				L	Allison STOLTZ FILING DATE: December 20, 2001		Tamara L. Graysay GROUP	
							3636	
		U.S. PAT	ENT DOC	UMEN	its			
*EXAMINER INITIAL	CITE NO.	DOCUMENT NUMBER	DAT	E.	NAME	C L S	SUB CLASS	FILING DATE
	·						ļ	<u> </u>
	57	20050144046	6/30/2	005	Schloss	T		
	58	20050154617	7/14/2005		Ruggieri			
	59	20050182668	8/18/2005		Debber	1		
	60	20050182669	8/18/2005		Tinnirello			
	61	20050182670	8/18/2005		Burgess			
	62	20050203778	9/15/2	005	Chen			1
	63	20050209866	9/22/2	005	Veeningen			
	64	20050228899	10/13/2	2005	Samuels			
	65	20050240447	10/27/2	005	Kil			1
	66	20050246207	11/3/20	005	Noonan			Ì
	67	20050256747	11/17/2	005	Hellrigel			
	б8	20050261943	11/24/2	005	Quarterman			
	69	20050267783	12/1/20	005	Zaccaria			
	70	20050267785	12/1/20	005	Parankirinathan			1
	71	20050273370	12/8/20	005	Udell	1		
	72	20050273371	12/8/20	005	Callen			
	73	20050288988	12/29/2	005	Collins			
	74	20060015373	1/19/20	306	Cuypers			_
	75	20060015374	1/19/20	006	Ochs			
			}					

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.